

Date: 25/10/24

To,

BSE Administration & Supervision Ltd.
25th Floor, P. J. Towers, Dalal Street,
Mumbai - 400 001

Subject: Action Taken on adverse findings in the Compliance Audit Report 2023-24

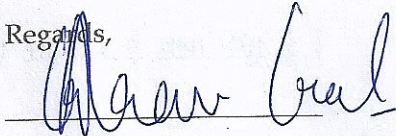
Respected Sir/Ma'am,

This is with reference to the Clause 2(vii) of the SEBI Circular No.: SEBI/HO/IMD/DFI/CIR/P/2020/182 dated September 23, 2020 titled "Guidelines for Investment Advisers".

I, being a SEBI Registered Individual Investment Adviser had completed the annual Audit in respect of compliance of SEBI (Investment Advisers) Regulations, 2013 and circulars issued thereunder. The said Compliance Audit contains an adverse finding, so in that respect please find below the action taken thereof:

Adverse Finding				Action Taken
SEBI Circular Reference No	SEBI/HO/IMD/DFI/CIR/P/2020/182	Display of details on the website and in other communication channels	No	<i>Have initiated action to display the relevant information missing on my website as required.</i>
SEBI Circular Reference No	SEBI/HO/MIRSD-PoD/P/CIR/2023/89 dated June 15 2023-VI (17)		No	<i>Registration with FIU Under process</i>
SEBI Circular Reference No	SEBI/HO/MIRSD-PoD/P/CIR/2023/89 dated June 15 2023-VII		No	<i>Will ensure timely filing of ATR's</i>

Regards,



Investment Adviser

INA 100014426